The Moral Ends of Band

Randall Everett Allsup

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Randall Everett Allsup

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This article provides a theoretical framework through which to reimagine and revitalize contemporary music education practices, using the large ensemble paradigm called band as the primary unit of analysis. Literature suggests that 6 band places too much emphasis on teacher control and external measures of validation. Critics propose replacing this historic art form with ones that exist outside of school. It is argued in this article that band's crisis of legitimacy can be 11 resolved by refocusing on student welfare and student well-being. Because band is the only indigenous American art form that exists in and has come into fruition through the public school, band directors are bound by a public trust to 16 put the education of students first. Using Dewey's understanding of the role of public schooling in a democracy, a vision of moral education through music education is advanced. Band is ideally poised to illustrate what moral education can be.

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NE OF THE SUCCESSES of public music education in the United States has been the way in which band—the concert bands, marching bands, jazz ensembles, drum lines, and every- 26 thing else that make up this conceptual genre called band—has shaped the musical and social experiences of generations of young people. The accomplishments are considerable: The band experience has brought to life important music from 31 the past, cultural milestones from artists such as Percy Grainger and Duke Ellington, while at the same time evolving in new directions. Band brings together a cross-section of the student body, a collective of young individuals each of 36 whom are charged in the cocreation of a larger musical goal. So, too, band rooms are social spaces apart from mere instruction, marked-off places where friendships are deepened, ideas are argued over lunch, and identities are formed and 41 protected (Adderley, Kennedy, & Berz, 2003; Hoffman, 2008; Morrison, 2001). I share with many band directors the aspiration that band has the capacity to shape and direct the best aspects of who we are and who we wish to be.

There are virtues to band, and I wish to locate these virtues within a larger project. I argue in this article that the North American secondary instrumental public school band program is an ideal space for moral exercise and growth. Be- 51

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cause the band experience is situated at the intersections of art, community, self-interest, and public schooling, band has the capacity to serve as an exemplar of what moral education could be. 56 In linking music education to moral principles, I note with appreciation some of the conventional conceptions of moral education, when for example teachers teach children to work together respectfully, to tell the truth, and not to steal. 61 But I am attracted to a somewhat less narrow view of school morality, one that connects moral education to the most formative undertakings of life, to those qualities that enhance personal growth and independent thinking while enriching 66 our relationships with others. Drawing upon the writings of John Dewey, specifically his Moral Principles in Education, my starting definition of moral education aims at "making the methods of learning, of acquiring intellectual power, and 71 of assimilating subject-matter, such that they will render behavior more enlightened, more consistent, more vigorous than it otherwise would be" (Hickman, 1978, Vol. 4, p. 268). For Dewey, moral education aims at growth—the acquisition 76 of intellectual power and the development of human character that "fund the self" (Hansen, 2006, p. 173).

I present for consideration a theoretical rationale for band, a framework with the direct 81 purpose of guiding research and practice so that the aforementioned moral qualities, existing as they do in inert or actualized capacity, become the explicit ends of band education. Furthermore, because band is an art form housed within the 86 public school, and thus part of a larger public trust, its educational obligations differ in form and function from those that operate elsewhere. My argument turns on this distinction. Because band is a subset of public schooling, it must 91 concern itself with the development of moral qualities, the cultivation of those human potentialities, powers, and individualities that enrich and enlarge a young person's life as she moves through the world with others. In this sense, the terms band and band education will be viewed as coterminus, a conceptual framing that holds immense promise for an indigenous American art form that is moving into its second century.

External Forces at Play

With increased international focus on the outcomes of schooling combined with rapid tech- 101 nological change and globalization, academic disciplines across North America are scrambling to reexamine their social relevance and methods of practice (Rothstein, Jacobsen, & Wilder. 2008). Band, like all subjects within the arts and 106 humanities, is in the midst of a crisis of intense introspection (Allsup & Benedict, 2008; Battisti, 2002; Fonder, 2000; Shively, 2004). In drafting this framework, I found some evidence that contemporary instrumental educators are wrestling 111 with various pedagogical methods for responding to social change, approaches that include constructivist practices (Brown, 2010; Holsberg, 2009; Shively, 2002), critical and feminist perspectives (Dobbs, 2005; Gould, 2005; Mantie, 116 2009), and democratic rationales (Allsup, 2004). But given the scope and history of band, these efforts appear undersized. Without a wide-ranging debate on educational ends, instrumental music teacher educators may be ill poised to do much 121 more than react to change, rather than to guide and shape future practices with specific goals in mind.

It would be unfair to imply that public school band directors are unconcerned with change. I 126 read in the discourses that circulate around band the enduring tensions between those rationales that must be made to justify its existence and the vision that is called upon to bring this tradition to life. Band directors share with all arts educators 131 a mounting sense of existential threat: "Maybe this is our last generation; maybe we have finally lost our public support." There is little surprise, then, that to survive ongoing budget cuts, performance and advocacy have become 136 difficult to distinguish, with most of the work done in schools visible primarily through highcaliber concerts and comparative competition. Concerts are curriculum and rationale (Russell, 2006), a point of obviousness to many (Reynolds, 141 2000). But a reliance on external evaluation, politically justified or not, leaves band directors without the conceptual tools to create curricula that are uniquely theirs and their students' alone.

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146 When band literature becomes frozen through a priori ends, the multiple and diverse musical experiences that are needed to bring the music to life are narrowed in favor of predefined means and predefined ends. The band experience must 151 be larger than its literature, and much larger than the evaluation of its literature. In a concert-ascurriculum culture, justification for band is seen, heard, and judged from outside the lived experience of the student, marking an externality that 156 belies the formative aims of what I argue is moral education. We hear very little about the inner lives of most aspiring young musicians, besides longstanding calls for a culturally predetermined type of psychomotor faculty called musicianship, 161 what David Elliott (1995) and others have called praxial know-how.

Something goes missing when we focus our attention on the external valuations of band more than the personal values that animate its 166 participants. We know, for example, that there are exacting criteria for the judgment of everything from State-sponsored solo literature to the posture and precision of a marching drum line. I have even heard that there is such a 171 thing as a Florida mezzo-forte—a predetermined sonic volume that some band directors achieve in competition, or don't. "The danger is," warned Dewey, "that those who become interested in this work of standardizing conditions—the external 176 side of the school work—will forget the limits of standardized uniformity, and attempt to carry it over into the strictly human, spiritual element that cannot be standardized" (Hickman, 1978, Vol. 15, p. 181).

181 When considering the band experience from the learner's point of view, critics have found teacher-directed aims that take for granted the emotional and aesthetic appeal of playing music with others (Shively, 2004). In John Schieb's 186 (2006) case study of a "typical" middle school band in the American mid-west, he observed that the predominant curricular outcomes centered around "opportunities to be in competition with other students ... skill development for 191 achievement's sake and to receive a satisfactory grade ... and learning and following classroom rules and procedures" (p. 35). Lindy, the young

trombone student who provided the data for this case study, "never mentions musical emotions, feelings, or aesthetic qualities in her responses to 196 my questions about what she enjoys most about band.... In fact, she never mentions the term *music* during the entire interview" (p. 35).

If the interests of band students are not apparent, and if the band director focuses learning 201 around the predeterminations of quality literature, musicianship, competition, technique, and half-time shows and concerts, a sociological leap logically ensues. Why should students be involved in school music when everything youths 206 ostensibly desire is available outside of school and enjoyed on their own terms? For advocates of non-institutional learning, such as John Kratus (2007) and David Williams (2007), public school music education "has become disconnected from 211 the prevailing culture" (Kratus, 2007, p. 44) and risks irrelevance. The critique goes something like this: If students are flourishing musically outside of institutionalized music education, either (a) publicly financed school-based music ed- 216 ucation is no longer needed, or (b) public music education needs to start looking more like the real world, e.g., commercial or popular culture. After all, "students can do more musically at home without us than they can at school with 221 us," claimed Williams (2007, p. 21).

In contemporary music education research, the allure of the real word is framed in stark binaries between out-of-school music and inschool music. The former, according to Kratus 226 (2007), "is individualistic, primarily nonclassical, satisfies the user's personal and emotional goals, and makes wide use of guitar and keyboard;" the latter is "large-group oriented, primarily classical, satisfies curricular goals, and focus[es] 231 on instruments that restrict musical involvement after graduation" (p. 47). I agree with Kratus that band directors must reckon with this contrast, and that we must look more carefully at what intrinsically motivates children. Does the historic frame- 236 work of teacher-directed, concert-as-curriculum, advocacy-based band education estrange young players from communities outside school? If we listened more carefully, what else would Lindy tell us about what band means to her? 241

It is easy to draw these contrasts, and in the name of student satisfaction, it is tempting to do away with band programs, and follow our students' interests like so many moths to the 246 candle of popular culture. But as I outline in the next section, there are moral grounds for resisting the internal/external dualisms of out-of-school music versus in-school music, or nonclassical music versus classical music, for focusing more 251 holistically on student independence and growth. These claims hinge on an understanding of public education as it relates to personal welfare, societal good, and the special place that music plays in shaping young lives. This argument is also 256 important because it supplies a theoretical framework for band that is not measured solely by external comparisons or misleading dichotomies, but by its own internal legitimacy as a site of human flourishing.

Band and the Public Trust

I begin with the claim that band as it is known—the concert bands, marching bands, jazz ensembles, drum lines, and everything else that make up this conceptual genre called *band*—is one of America's great indigenous art forms, but one that exists in and has come into fruition primarily through public education. Unlike jazz, rock and roll, Shaker quilts, and modern dance, the band experience that I am describing—the very experience that came to define and shape my own middle and high school education—was created through the American public school system and exists almost exclusively within public schools and for the public school student.

276 In describing the way that moral principles can guide and animate formal music education, it may be helpful to draw a distinction between a moral vision of public education and the contemporary demands that are placed on schools 281 today, where it is all too common to view the school as an adjunct of commercial industry, as the training grounds for future work. The school, in Dewey's vision, is a social space the value of which is determined not by the degree to which it 286 replicates the world outside, but by the degree to

which it advances the welfare of society. A kind of plasticity is inherent in the latter distinction, as well as a suggestion of moral purpose.

Moving beyond the dichotomies of in-school versus out-of-school interests, the public school 291 is both an extended place of diverse contact and connection and also an embryonic space of self-formation, a place of trial, observation, and judgment. The student is at the center of this activity, with webs of interest reaching out and 296 back, looking forward and looking past. "Here, too, the ethical responsibility of the school on the social side must be interpreted in the broadest and freest spirit," wrote Dewey.

It is equivalent to that training of the child which will give him such possession of himself that he may take charge of himself; may not only adapt himself to the changes that are going on, but have the power to shape and direct them. (Hickman, 1972, Vol. 5, p. 60)

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The moral ends of public schooling are to equip young people to be independent thinkers and actors, to free them from adults' care so that they might not only shape and direct an unfolding world, but also reimagine it. *Freedom, empow-311 erment, agency, self-reliance, one-anotherness*—these are the moral ends of education: "The power to frame purposes, to judge wisely, to evaluate desires by consequences which will result from acting upon them; power to select and 316 order means to carry chosen ends into operation" (Hickman, 1985, Vol. 13, p. 41).

Just as critics of band have looked externally at rock groups and social media for curricular inspiration, the advocates of band have often 321 looked to the Western European classical orchestra or the thriving drum and bugle corps culture in North America as idealized models for instruction and performance practice. But I would argue that none of these sites have as 326 their primary mission the educational growth of their members. Orchestras, for example, are organizations whose ends aim for a perfectly rendered interpretation of a musical work and whose means are determined by whatever it takes 331 to get there. That the Chicago Symphony Orchestra provides spaces for musical experimentation,

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creativity, and improvisation; helps players grow musically and socially in diverse and profound 336 ways; provides a training ground for citizenship and cooperation; encourages rookie players to learn from mistakes and try something new; that Ricardo Muti is a kind and patient instructor in his tradition; that the *maestro* seeks out the 341 good of his players more than the good of the concert—these are not reasons we attend Chicago Symphony concerts. Mahler and Bruckner are the reasons we support the Chicago Symphony, not the budding growth of its fourth-chair trumpet 346 player.

I would say the same about the Cavaliers of Rosemont, Illinois, a renowned drum and bugle corps. Young people who elect to spend an entire summer mastering 12 minutes of music and the 351 company of instructors who train them on the football field are not obligated by the same moral principles found in public schools. Even as I care for the well-being of the young people who audition into drum and bugle corps and elect to 356 abide by their rules and traditions, there is no public institutionally defined moral imperative at the core of the drum and bugle corps art form. If the Cavaliers were to adopt as their mission the moral education of the young learners in their 361 care—as they could—the ends and means of the drum and bugle corps experience would change fundamentally, and perhaps unrecognizably. By contrast, a high school band's place in the public trust obligates it to be moral. The degree to which 366 its practices change a lot or change very little depend upon a given school's individual educational setting and the ethos that surrounds it, not on the preexisting practices of a given art form.

As such, some adjustment must be made in the degree to which the conventional norms that govern private models of practice like rock groups, professional orchestras, and drum corps inform the inner workings of a publically supported school-based musical tradition. A reconstruction is called for, one that moves in favor of the local needs, experiences, and multiple expertise of a given school community. In this sense, traditions and artistic norms—those learned deeply and those encountered broadly—fund or empower the growing musical self for the sake of the grow-

ing musical self. Although a tension will most certainly exist between diversity of opportunity and the right to experiment on the one hand, and the power of learning a traditional art form intimately on the other, taken together these ends 386 are not moral contradictions. On the contrary, this tension is, itself, an essential moral principle, one that guides instruction and practice, and one that shapes human lives.

The Obligation to Be More and Do More

The virtues of band exist in its widest capacity. As such, today's band programs have an obligation to do more. The physical and musical capacity to do more, furthermore, is already in 396 place. To that end, I return to the points presented in the opening paragraph—that band is a rare space within the public school of multiple and diverse educational means and musical and social purposes. When band is considered conceptu- 401 ally to be more than a denominational genre, band is richer and more varied than the isolate with which its adherents are mostly preoccupied, namely the concert band and the quality of its supporting literature (Budiansky & Foley, 2005). 406 The wind ensemble is only a subgenre, no more no less, within the larger constellation of instrumental musical activity and social interaction called band. Band education becomes moral education when a diversity of musical experiences 411 is embraced and when the student is placed at the heart of its activities.

I make no distinction between band and band education. Band, housed within the public school and existing for the education of young people, is 416 morally obligated to live up to the highest ideals we hold forth for public education in a democratic society. Its legitimacy is located within this promise. This means that band educators must concern themselves with the diverse cultural 421 lives of their students more than the admiration, administration, and preservation of a singular tradition. In contrast to the narrow education of Lindy the middle school trombone player, I encourage band educators to view band con-426

ceptually, as an interdisciplinary field of artistic study that "appeals to the child's active powers, to his capacities in construction, production, and creation, mark[ing] an opportunity to shift the centre of ethical gravity from an absorption which is selfish to a service which is social" (Hickman, 1978, Vol. 4, p. 277).

A shift in gravity from mere learning to the testing and trial of learning is worth imagining.

436 "The child is born with a natural desire to give out, to do, to serve" (Hickman, 1972, Vol. 5, p. 64). Passive absorption is growth in its most superficial way. No one argues that Lindy learned nothing in band. But Dewey called on teachers 441 and students to connect and extend the results of their study together, multiplying experiences in such a way that growth surpasses the limits of teacher prediction or external evaluation. Unless diverse opportunities are afforded to her, we 446 can only hold Lindy to the most superficial of educational ideals.

To whose ends were Lindy's talents put? To what end was Lindy's education charted? Throughout Dewey's writings on schools, 451 democracy, and moral education, he talked about the classroom as an extended space, a place of broad expertise where diversity is a premium, and where our actions inform others, as theirs inform ours. In this vision, each band rehearsal space is 456 comprised of a unique collection of individuals with intersecting and colliding interest, guided by an ethos of learning from others, rather than using others. Echoing Kant's categorical imperative, David Hansen (2006) wrote, "In all that we do, 461 we should treat others as ends in themselves, never merely as a means to our own ends" (p. 166). This ancient perspective, analogous to the Golden Rule, is the capstone of moral education, finding fruition in the famous last 466 sentence of *Democracy and Education*: "Interest in learning from all the contacts of life is the essential moral interest" (Hickman, 1978, Vol. 9, p. 370). Hansen continued that this imperative

mirrors Dewey's notion of *learning* from all contacts with others, a posture that seems to presume treating them as ends. After all, Dewey does not speak of interest in *using* all our

contacts to serve our purposes, and it is striking that he refers to learning from others as a 'moral' interest. (p. 166, italics in original)

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So what would this mean for students? What would it really mean to imagine learners as ends in themselves, as persons with projects? What would it mean for us as band educators to move aside just a little bit, to shift the center of gravity, 481 or to resist seeing students as means to our own ends?

Suddenly, band looks very different. The exoskeleton of concert-as-curriculum collapses like a dragonfly's empty shell. The conductor's 486 podium becomes a focal point, not the focal point. Direction is no longer synonymous with education. The flute is not limited to classical music. The voice is not limited to choir. Everyone takes turns playing the drums. Students have 491 major instruments and minors, favored styles, and favored groups. The wind ensemble would not melt into history, but exist proudly among other genres and musical offerings. Comparative competition gives way to inner challenges. 496 Each section in band decides chair placements. Guitars, accordions, and iPads come into view. Students perform the music of Percy Grainger, Duke Ellington, and the kid next door. And just as jazz long ago became a historic part of the 501 band experience, so, too, becomes popular music, and folk music, and hip-hop, and hybrid-jazzpopular-folk-hip-hop music. Of course, these are just a few ways of reimagining and reconceptualizing band. They are not, however, moral pre- 506 scriptions or a new set of dogmatic injunctions, which would, in turn, defeat the generative nature of this framework. Rather, each band is charged in constructing and reconstructing a different course of study for each generation of students. 511

A moral framework must look carefully at methods of teaching. Although hesitant to label specific teaching practices moral, non-moral, or even immoral, I would like to call attention to the way that competition, in particular, has 516 framed many band practices that might otherwise lead to unexpected places. The question is not "Should there be external measures of evaluation or should bands compete or not," but rather

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521 "For whom does external competition serve, and what quality of growth is promoted through competition, and how does competition shape human conduct and character?" Defenders of competition would argue that competing is not
526 really the point of what they do. Competition is simply the band director's best choice for achieving excellence—achievement is the end, not an award or trophy.

Taking such a defense at its word, I am 531 sympathetic to the idea of going beyond what one thinks one is capable of doing. Even the word virtuoso suggests some kind of moral virtue is inherent in winning a concerto competition, taking a first-place rating, or at least being 536 good enough to come close. Yet with regard to band, I worry about words like excellence and achievement, winning and success, mostly because I so rarely hear positive talk about failure, trial, or experimentation. Virtuosity as 541 an end for instrumental music education defines only a very limited approach to knowing music, one that shapes, in very particular ways, what musical options are later available to the adult musician. We need more room for failure in 546 band, not less. We need less direction and more experimentation.

A half-century ago, Jerome Bruner (1960) challenged schools to produce math students that thought and acted like mathematicians, and his-551 tory students that thought and acted like historians. We need to ask ourselves if we are producing music students who think and act like virtuosos or music students who think and act like composers, producers, artists, and amateurs (cf. 556 Reimer, 2003, pp. 274-299). Although neither is inherently moral (or nonmoral or immoral), I point out that a band curriculum whose end is amateurism aims to provide learners with a love of music and a love of making music 561 (Fr: *l'amour* = love; the amateur), whereas the virtues of virtuosity aim at perfection, at best a platonic form of appreciation. We might at least consider the possibility that there are equal advantages to being a multimusical amateur, as 566 there are to being a Level 6 clarinet player. To that end, the decision to become an amateur musician, a virtuoso-or both-should be presented to the student through the offerings of a rich and diverse band curriculum.

The very heart of moral education is help- 571 ing children to discover, cultivate, and enlarge their best selves. This is what Dewey meant, I think, when in his writings on democracy and moral education he referred to schools as embryonic communities as much as they are extended 576 communities. Through the opportunities that are available, some relevant to a particular student's interests and some unfamiliar, band has an almost overlarge capacity to help young persons find and shape their lives, just as others around them are 581 finding and shaping their lives. In this protected embryonic community, there is no subject called band that exists apart from its students and teachers and the interests that its students and teachers find and bring with them. In other words, 586 band is both shareholder and subject of study. Misrecognition is the mistake we makes when band educators think of recruiting for band, rather than conceiving of band as "a mode of personal experiencing"—not a monolith or externality— 591 but a "system of working forces" (Hickman, 1978, Vol. 4, p. 287) that is made up jointly by all participants as they engage in the work they do. When we recruit for band, we have a ready-made picture of just what band is and 596 who will fit into it or not. In contrast, band as a formative, self-generating community, is a space that is slightly unknown, always different, and subsequently shaped anew by each generation of contributors (Shieh, 2008). 601

The critic might respond that this sounds an awful lot like pandering to the whims of adolescence, and that the aforementioned mode of association may well guarantee the end of a century of traditions, like the concert band and classical 606 music. However, there is no educational growth to be found in pandering, and thus pandering to the young serves no moral purpose. Moreover, regarding the survival of classical traditions like the wind ensemble or jazz music, the opposite is 611 true. Band educators have an obligation to afford students the opportunity to engage in traditional, classical, and unfamiliar music—as long as the end is student growth, and not simply the survival of the chosen tradition or cultural product. 616 621

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It is condescending, furthermore, to suggest that young people will have no interest in the unfamiliar. Wrote Hansen (2006),

Dewey counsels teachers not to fixate on who students are apart from subject matter. There is no call to regard students as having predetermined, final selves with final interests to whom subject matter must be utterly alien or remote ... as if students come to class as consumers with their tastes and preferences neatly lined up, rather than bundles of energy, confusion, insight, doubt, accomplishment, innocence, worldliness and more.

Hansen continued, "The fusion of self and inter631 est in learning emerges in and through activity,
not through first lining up in one column students
'interests' and then lining up in another column
elements of the subject matter and trying to
figure out a match" (p. 177). This view does
636 not condescend to young people, and does not
label students by perceived identity. It refuses
the cliché that all young people are interested
in little more than guitars and keyboards, and
that our band students are by nature individu641 alistic, uninterested in classical traditions, and
egocentric in their needs (Kratus, 2007; Williams,
2007).

The tension between old and new, familiar and unfamiliar, is, itself, an essential moral principle, one that aims at independence and self-formation. Band provides students the opportunity to study traditions that exist outside what they can access at home or in the hallway. When band does more, we afford students the opportunity to be 651 more—more than the labels society gives them, and certainly more than that which a teacher can safely predict. But these external offerings must fuse with student interest [the embryonic community] and then make new meaning in the learner's 656 life, at home or in the hallway [the extension of space]. Wrote Hansen (2006), "The self 'loses' and 'finds' itself in its involvement with objects." Objects in this context are not understood as inert physical things, but "anything that draws out simultaneously both focus and solicitude." He continued, "with every new encounter the self loses an aspect of its prior identity because it is now infused with, and has infused, the new

object . . . the fact means the self has found a new aspect through its interaction" (pp. 174-175). 666 This fusion is not absorption, but a process of struggle and trial—of judgment and creativity. As this fusion concerns band education, it presents a vision of the emergent self, funded by diverse musical opportunities and extant traditions, and 671 taking place within a community that is specially crafted to realize these ends. Imagine Lindy in just such a setting. Picture an education that has prepared her to perform the Mozart Requiem in a community orchestra, march in the college 676 marching band, and start a local indie band over summer break. The quality of her band education is judged not by whether she continues to play in community concert bands after high school or not, or the number of trophies she leaves behind, 681 but by the degree to which she can create and recreate—fuse and refuse—a life of complex and self-fulfilling musical engagement. The moral ends of band are to secure the conditions of just such a possibility. 686

Conclusion

Although there is not one subject by which the principles of moral education are best exercised, band is uniquely matched with a physical space as near large as its moral capacity. I have little 691 doubt that band students, with all of their interests and curiosity, will expand to fill this space. And this must be a shared space, of course. If the public school band does not share its aims with its members, if participants do not have a 696 personal and common stake in its outcome, then there is no reason beyond sentiment to continue this tradition. Yet, only the strictest traditionalist could claim that the framework provided in this article is not pro-band. A moral framework is 701 one way to insure continuity from past to future. Nor is this article an apology for traditional band practices. A moral framework would reshape this tradition in large and small ways. But this is already happening. Band, with its small and large 706 groups, jazz programs and marching units, is already an art form in evolution, all the more thrilling that its inimitable experiences take place in the public school.

Allsup The Moral Ends of Band

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